

Member or SRO Group: [Nasdaq Stock Market LLC](#)

- Is the Member for-profit or not-for-profit?

[For-profit.](#)

- If the Member is for-profit, is it publicly or privately owned?

[Publicly owned.](#)

- If privately owned, list any owner with an interest of 5% or more of the Member, where to the Member's knowledge, such owner, or any affiliate controlling, controlled by, or under common control with the owner, subscribes, directly or through a third-party vendor, to CT Feeds and/or Member PDP.

[N/A](#)

- Does the Member offer PDP?

[Yes.](#)

- If yes, list each product, describe its content, and provide a link to where fees for each product are disclosed.

[A list of market data products, which includes Proprietary Market Data, with a description of those products, is available at \[Nasdaq Stock Market Data Solutions\]\(#\). The fees for Proprietary Market Data are available in the \[Nasdaq Rule Book\]\(#\).](#)

- Provide the names of the Voting Representative, any alternate Voting Representatives designated by the Member, and any Member Observers. Also provide a narrative description of such persons' roles within the Member organization, including the title of each individual as well as any direct responsibilities related to the development, dissemination, sales, or marketing of the Member's PDP, and the nature of those responsibilities sufficient for the public to identify the nature of any potential conflict of interest that could be perceived by a reasonable objective observer as having an effect on the operation of the Company. If such persons work in or with the Member's PDP business, describe such persons' roles and describe how that business and such persons' Company responsibilities impacts their compensation. In addition, describe how such persons' responsibilities with the PDP business may present a conflict of interest with their responsibilities to the Company.

[Andrew Oppenheimer. Associate Vice President, North American Market Services. Mr. Oppenheimer is responsible for business development and strategy for the Nasdaq Exchanges. Mr. Oppenheimer has no direct responsibilities related to the development, dissemination, sales, or marketing of the Participant's Proprietary Market Data](#)

Jeff Kimsey. Vice President Investment Intelligence. Mr. Kimsey is head of Nasdaq's data regulation and compliance. He is responsible for developing, managing and communicating Nasdaq's position on public policy issues and industry-wide developments related to Nasdaq's Investment Intelligence business and products. He will represent Nasdaq's positions on important issues by engaging with policy makers, regulators and customers. Jeff has no direct responsibilities related to the development, dissemination, sales, or marketing of the Participant's Proprietary Market Data.

- Does the Member, its Voting Representative, its alternate Voting Representative, its Member Observers, or any affiliate have additional relationships or material economic interests that could be perceived by a reasonable objective observer to present a potential conflict of interest with their responsibilities to the Company? If so, provide a detailed narrative discussion of all material facts necessary to identify the potential conflicts of interest and the effects they may have on the Company.

No.